



23641

PATENT TRADEMARK OFFICE

2761
2161

BARNES & THORNBURG

600 One Summit Square
Fort Wayne, Indiana 46802
(219) 423-9440

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Form: PTO/SB/21 (6-98)

Customer No.: 23641

Group

Art Unit: 2761

Attorney

Docket No.: 37168/82045

Applicant: Jeffrey K. Dellinger, et al.

Invention: METHOD AND APPARATUS FOR
PROVIDING RETIREMENT INCOME
BENEFITS

Serial No.: 09/406,290

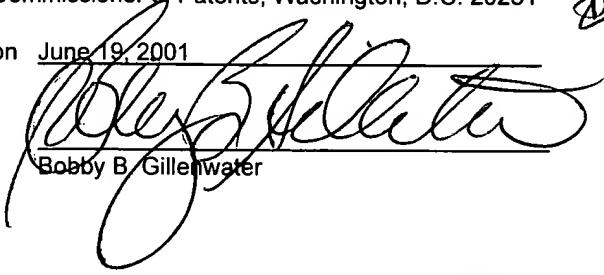
Filed: September 24, 1999

Examiner: Unknown

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231

on June 19, 2001


Bobby B. Gillenwater

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JUN 26 2001

Technology Center 2100

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Response
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Supplemental Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53
- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-relating Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition



- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences

- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

- Proprietary Information

- Status Letter

- Additional Enclosure(s)

(please identify below): Technology Center 2100

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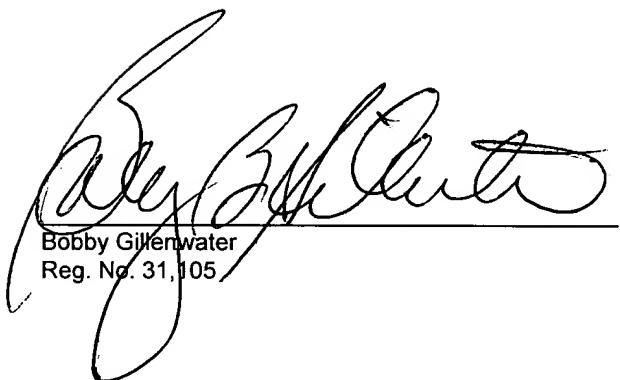
Receipt Postcard

Copies of Disclosures (14 patents; and 1 "Other Prior Art").

Remarks:

Date: June 19, 2001

FWDS01 BBG 140205


Bobby Gillenwater
Reg. No. 31,105



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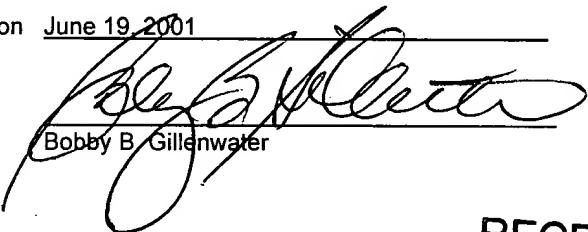
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants and the undersigned hereby disclose to the Examiner the reference listed on the attached Form PTO/SB/08A.

This Supplemental Information Disclosure Statement is being filed more than three months of the U.S. filing date BUT before the mailing date of the first Office Action on the merits. No certification or fee is required.

No representation is intended that a complete search has been made of the prior art, or that prior art references other than those listed on the attached form are not available. The citation of this reference shall not be construed to be an admission that the information cited in the statement is considered material to patentability of the subject invention.

Please credit any overpayments or charge any additional fees to the Deposit Account of Barnes & Thornburg, Account Number 02-1010.



Respectfully submitted,

Bobby B. Gillenwater
Bobby B. Gillenwater
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(219) 423-9440